



Urs Zulauf, Curriculum Vitae

Since 1996 Urs Zulauf (born in 1956) is Head of the Legal and Enforcement Department of the Swiss Federal Banking Commission (SFBC). His main responsibilities are:

- Coordination of *regulations* of financial markets supervision concerning the SFBC;
- Coordination of the SFBC's *international policy*, the negotiation and conclusion of *information sharing agreements* with foreign supervisors
- *Handling of requests from foreign supervisors* to receive bank customer information.
- Formal *enforcement actions* taken by the SFBC *against banks* under the Swiss Banking Act 1934 and *enforcement actions against unauthorized banks, securities dealers and investment funds*..

From 1989 to 1991, Urs Zulauf was a member of the Swiss Delegation in the FATF. He represented the SFBC in various international and national fora, experts panels and working groups. From 2001 to 2002 he was project leader for the establishment of the SFBC Anti-money laundering ordinance, which was adopted by the SFBC in December 2002.

Urs Zulauf joined the Legal Department of the Swiss Federal Banking Commission in 1983. Between 1986 and 1989 he also held the position of a research fellow for contract law and banking law at the University of Bern. In 1992/93 he was seconded to the Bank of England in London.

Urs Zulauf studied law at the universities of Paris X and Berne where he received his law in 1982 . In 1994 he was awarded a degree of Doctor in Law from the Faculty of Law of the University of Bern for his study on due diligence rules for Swiss Banks. He is author of numerous articles in the field of banking and securities supervision and money laundering. Since 1995 he is co-editor (with Luc Thévénoz) of the yearbook *BF - Regulierung und Selbstregulierung der Banken, Börsen, Effekthändler, Anlagefonds und Finanzmärkte in der Schweiz*., which is a compilation of all relevant laws and regulations in the banking and finance area in Switzerland and published biannually in hardcopy, on CD and on-line.

Urs Zulauf is fluent in German (mother tongue), French and English and (less fluent) Italian.